# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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| In re Application of: | ) |
| | ) |
| Martin FISCHER et al. | ) Group Art Unit: 2169 |
| | ) |
| Application No.: 10/526,749 | ) Examiner: Yang, Chin Ju |
| | ) |
| Filed: November 14, 2005 | ) Confirmation No.: 6922 |
| | ) |
| For: METHODS AND SYSTEMS FOR | ) |
| MOVING DATA USING LOCKS | ) |

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

## INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(b)

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(b), Applicants bring to the attention of

the Examiner the documents on the attached listing. To the undersigned's knowledge,

this Information Disclosure Statement is being filed before the mailing date of a first

Office Action on the merits for the above-referenced application.

Copies of the listed U.S. patent publications are not enclosed. A copy of a PCT

Search Report for PCT/EP2003/09828 is enclosed.

Applicants further bring to the attention of the Examiner the following U.S. patent

and co-pending patent applications:

1.      Patent No. 7,222,142, issued May 22, 2007, entitled "Methods and

Systems for Moving Data Objects Utilizing Data Identifiers and Lock Objects."

2.     Application No. 10/656,208, filed September 8, 2003, in the names of Martin Fischer and Thorsten Pferdekamper, entitled "Methods and Systems for Controlling Access To A Data Object."

3.     Application No. 10/526,747, filed November 14, 2005, in the names of Martin Fischer and Thorsten Pferdekamper, entitled "Methods and Systems for Moving Data Objects."

4.     Application No. 10/526,748, filed November 8, 2005, in the names of Martin Fischer and Thorsten Pferdekamper, entitled "Methods and Systems for Data Moving Using Locks."

5.     Application No. 10/526,504, filed October 24, 2005, in the names of Thorsten Pferdekamper and Martin Fischer, entitled "Methods and Systems for Archiving Data."

6.     Application No. 10/526,750, filed November 14, 2005, in the names of Thorsten Pferdekamper and Martin Fischer, entitled "Method and Systems for Controlling Access to a Data Object by Means of Locks."

7.     Application No. 10/526,751, filed November 14, 2005, in the names of Thorsten Pferdekamper and Martin Fischer, entitled "Electronic Data Structure for Controlling Access to Data objects Using Locks."

Copies of the co-pending patent applications are stored in the USPTO's image file wrapper (IFW) system.  Therefore, copies are not enclosed.

Applicants respectfully request that the Examiner consider the listed documents and indicate that they were considered by making appropriate notations on the attached form.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the listed documents are material or constitute "prior art." If the Examiner applies any of the documents as prior art against any claim in the application and Applicants determine that the cited documents do not constitute "prior art" under United States law, Applicants reserve the right to present to the Office the relevant facts and law regarding the appropriate status of such documents.
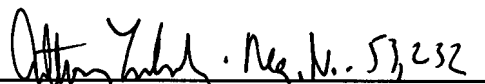
Applicants further reserve the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

If there is any fee due in connection with the filing of this Statement, please charge the fee to our Deposit Account No. 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,
GARRETT & DUNNER, L.L.P.

Dated:  June 19, 2007                    By:_____
                                               C. Gregory Gramenopoulos
                                          for  Reg. No. 36,532

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| | Sheet | 1 | of | 2 |

**Complete *if Known***

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| Application Number | 10/526,749 |
| Filing Date | November 14, 2005 |
| First Named Inventor | Martin Fischer |
| Art Unit | 2169 |
| Examiner Name | Yang, Chin Ju |
| Attorney Docket Number | 07781.0219 |

## U.S. PATENTS AND PUBLISHED U.S. PATENT APPLICATIONS

| Examiner Initials* | Cite No.[1] | Document Number<br>Number-Kind Code[2] *(if known)* | Issue or Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |
|---|---|---|---|---|---|
| | | 5,913,213 | 06/15/1999 | Wikstrom et al. | |
| | | 6,405,274 | 06/11/2002 | Chan | |
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| | | 6,775,750 | 08/10/2004 | Krueger | |
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| | | 5,548,750 | 08/20/1996 | Larsson et al. | |
| | | 7,222,142 | 05/22/2007 | Fischer et al. | |

**Note: Submission of copies of U.S. Patents and published U.S. Patent Applications is not required.**

## NONPATENT LITERATURE DOCUMENTS

| Examiner Initials* | Cite No.[1] | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | Translation |
|---|---|---|---|
| | | PCT Search Report mailed March 30, 2004 for PCT/EP2003/09828. | |
| | | Martin FISCHER et al., U.S. Application No. 10/656,208, filed September 8, 2003, entitled "Methods and Systems for Controlling Access to a Data Object." | |
| | | Martin FISCHER et al., U.S. Application No. 10/526,747, filed November 14, 2005, entitled "Methods and Systems for Moving Data Objects." | |

| IDS Form PTO/SB/08: Substitute for form 1449A/PTO | **Complete if Known** | |
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| **INFORMATION DISCLOSURE STATEMENT BY APPLICANT** *(Use as many sheets as necessary)* | Application Number | 10/526,749 |
| | Filing Date | November 14, 2005 |
| | First Named Inventor | Martin Fischer |
| | Art Unit | 2169 |
| | Examiner Name | Yang, Chin Ju |

| Sheet | 2 | of | 2 | Attorney Docket Number | 07781.0219 |
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## NONPATENT LITERATURE DOCUMENTS

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|---|---|---|---|
| | | Thorsten PFERDEKAEMPER et al., U.S. Application No. 10/526,748, filed November 8, 2005, entitled "Methods and Systems for Data Moving Using Locks." | |
| | | Thorsten PFERDEKAEMPER et al., U.S. Application No. 10/526,504, filed October 24, 2005, entitled "Methods and Systems for Archiving Data." | |
| | | Thorsten PFERDEKAEMPER et al., U.S. Application No. 10/526,750, filed November 14, 2005, entitled "Methods and Systems for Controlling Access to a Data Object by Means of Locks." | |
| | | Thorsten PFERDEKAEMPER et al., U.S. Application No. 10/526,751, filed November 14, 2005, entitled "Electronic Data Structure for Controlling Access to Data Objects Using Locks." | |

| Examiner Signature | | Date Considered | |
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| | | | |

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.